

CAP PCA CHECKLIST

I. BASIC INFORMATION:

- a. Name of Project/Location: Yazoo Diversion Canal, Warren County, MS
- b. Authority: ___ Section 14 ___ Section 103
 X Section 107 ___ Section 111
 ___ Section 205 ___ Section 208
- c. Non-Federal Sponsor(s): City of Vicksburg, Ms, and Warren County Port Commission
- d. Scheduled date for execution of the PCA: May 2005
- e. Scheduled Advertisement Date: Jun 2005
- f. Scheduled Award Date: Aug 2005
- g. Scheduled Project Completion Date: Apr 2006

II. PROJECT DOCUMENTS:

- a. Title/Date/Approving Authority of Project Report Supporting PCA: Yazoo Diversion Canal, Warren County, MS, October 2001, Policy Factsheet approved by ASA (CW) on 14 March 2002. Detailed Project Report approved by CEMVD on 8 April 2002
- b. Project Management Plan Approval Date: 8 April 2002
- c. Estimated Implementation Cost: \$ 3,722,000
- d. Date Cost Estimate Prepared and Price Level: Prepared 2000, based on 2000 price levels. Updated October 2004.
- e. Date of Latest Economic Analysis: October 2004
- f. Current Economics: BCR 3.62 @ 5 3/8% % FY 05

III. PROJECT SPECIFIC: ANSWER QUESTION(S) ADDRESSING SPECIFIC AUTHORITY FOR PROJECT.

a. **Section 103:**

Is a separable recreation feature included in the project? ___ Yes ___ No

b. Section 107:

Is material from the navigation channel being placed on a beach? ___ Yes X No
If Yes, is disposal on the beach the least cost alternative? ___ Yes ___ No X N/A
If No, does the PCA reflect 50/50 cost sharing of the additional cost for disposal?
___ Yes X No X N/A The potentially disposed material has been tested and cleared
to be disposed into the Mississippi River.

c. Section 111:

If the Federal share of the implementation costs, including periodic nourishment, exceed
\$2,000,000, has specific Congressional authority been received to proceed with the
project? ___ Yes ___ No ___ N/A

d. Section 205:

Is a separable recreation feature included in the project? ___ Yes ___ No

e. Section 208:

Is a separable recreation feature included in the project? ___ Yes ___ No

IV. FUNDING HISTORY

a. Allocations to Date:

	Federal	Non-Federal
Feasibility	<u>\$100,000</u>	<u>\$0</u>
Plans & Specifications	<u>\$280,000</u>	<u>\$0</u>

b. Remaining Requirements:

Plans & Specifications	<u>\$40,000</u>	<u>\$0</u>
Construction	<u>\$2,820,100</u>	<u>\$348,900</u>
LERR	<u>\$0</u>	<u>\$233,000</u>

c. Total Allocations: \$3,240,100 1/ \$581,900

d. Ultimate Total Allocations: \$3,124,200 1/ 2/ \$697,800 2/

1/ Includes feasibility costs.

2/ Ultimate Total Allocations reflect the additional 10% of GNF costs (less credit for LERR)
that the sponsor must reimburse the Federal Government after construction is completed.

V. COST SHARING SUMMARY:

Purpose (s)	Non- Fed Cash	Non-Fed LERR's	Non-Fed Share	Federal Share	Total Implementation Cost **
Navigation					
Initial	<u>\$333,900</u>	<u>\$233,000</u>	<u>\$581,900*</u>	<u>\$3,140,100</u>	<u>\$3,722,000</u>
Ultimate ***	<u>\$449,800</u>	<u>\$233,000</u>	<u>\$697,800*</u>	<u>\$3,024,200</u>	<u>\$3,722,000</u>

* A misc. non-Fed credit of \$15,000 is included for participation on the project coordination team, audits, etc.

** Excludes feasibility costs.

*** Ultimate costs reflect the additional 10% of GNF costs (less credit for LERR) that the sponsor must reimburse the Federal Government after construction is completed.

a. Annual Non-Fed OMRR&R Costs As Applicable \$0. The Corps will be responsible for OMRR&R of the general navigation features until the maximum Federal participation limit as defined by the PCA is reached but in no event shall the Corps' OMRR&R responsibilities extend beyond 50-years after project construction is completed. Based on estimated average annual OMRR&R costs of \$53,000 along with all the planning, engineering and design, and construction costs for the project, the 50-year OMRR&R time limit will be reached before the Federal participation limit will be so the 50-year time limit is anticipated to control. When Federal participation ceases, OMRR&R becomes the responsibility of the non-Federal sponsor.

b. Source of Non-Federal Funds: The non-Federal sponsor's financing plan includes: (1) a State of Mississippi Department of Economic and Community Development, Community Development Block Grant (CDBG), (2) a State of Mississippi Department of Economic and Community Development, Development Infrastructure Program Grant (DIP), (3) general revenue funds from the city of Vicksburg, and (4) general revenue funds from the Warren County Port Commission.

c. Source, Amount, & Date of Authorization From Granting Agency for Other Agency Funds (Attach Authorizing Letter) : Grants for the project include a State of Mississippi Department of Economic and Community Development, Community Development Block Grant in the amount of \$450,000, approved on 1 November 1999, and a State of Mississippi Department of Economic and Community Development, Development Infrastructure Program Grant in the amount of \$150,000, approved on 10 December 1999. Authorizing letters are attached. Also attached are a 6 December 1999 letter from the State of Mississippi Department of Economic and Community Development and a 14 January 2005 letter from the Mississippi Development Authority confirming that the HUD Community Development Block Grant can be used for the non-Federal share of the project.

d. Status of Sponsor Obtaining Funds: Grants from the Mississippi Department of Economic and Community Development are approved. The city of Vicksburg and Warren

County Port Commission have approved and allocated funds in the amount of \$87,120 and \$106,480, respectively, for the project. All non-Federal funds required for the project have been obtained and are available.

VI. SPECIAL CONDITIONS

a. Sub-agreement Required for Sponsor to Perform: ___ Yes X No Describe the Sub-agreement and How It Relates to the Sponsor’s Requirements Under the PCA _____.

b. As Applicable, Describe the Allocation of Costs Among the Multiple Sponsors: The sponsor’s financing plan includes two grants totaling approximately \$600,000. In addition, the city of Vicksburg and Warren County Port Commission have allocated funds of \$87,120 and \$106,480, respectively, for the project from their general revenues.

c. As Applicable Describe Special Cost Sharing IAW Section 1156, Public Law 99-662. None.

d. List and Provide Cost Estimates For Betterments to be Funded by the Sponsor: None

VII. REVIEW BY NON-FEDERAL SPONSOR AND ITS COUNSEL

a. Does the non-Federal sponsor concur in the PCA as submitted? X Yes ___ No

b. Has PCA as submitted , including the Certificate of Authority, been reviewed by the non-Federal sponsor’s counsel? X Yes ___ No

VIII. CERTIFICATION FOR DELEGATED PCA’s:

a. PROJECT PLAN

Has the Project Report (Item IIa) been approved by the Director of Civil Works or other official to which authority has been delegated? X Yes ___ No

If there were conditions associated with the approval, have they been resolved with the organizational level attaching the conditions? ___ Yes ___ No X N/A

If the project to be described in the PCA deviates from the approved project document plan, was it approved by the approving authority? ___ Yes ___ No X N/A

b. FUNDING

If funds were added by Congress, has guidance been furnished by HQUSACE regarding the scope of the project to be built and any limiting language to be included in the PCA? X Yes ___ No ___ N/A –Note: Guidance provided through FY05 VTC process for Congressional adds.

Does the proposal comply with HQUSACE Congressional add guidance? X Yes ___ No ___ N/A

c. AUTHORITY

Is authority adequate to complete the project as proposed? X Yes ___ No

d. ENVIRONMENTAL COMPLIANCE

Have all applicable environmental requirements been met and clearances received? X Yes ___ No

Show actual or scheduled completion dates for environmental compliance.

Dates of Environmental Compliance: FONSI Signed 12 Dec 2004 EIS Filed N/A ROD Signed N/A ESA Sec. 7 Concluded 18 Feb 1999 CZM Consistency Determination N/A

401 Certification 14 Oct 2004 or 404(r) N/A 404(b) (1) 14 Oct 2004 Sec 103 MPRSA Eval N/A Sec 106 NHPA (SHPO and/or ACHP) 16 Jun 2004
USFWS Coord. Act Rpt. 08 Sep1999 Clean Air Act 17 Dec 1999

On March 31, 2005, the Vicksburg District staff contacted the US Fish and Wildlife Service regarding environmental compliance for construction and OMRR&R of the Yazoo Diversion Canal project. USFWS indicated that they have no objection to the implementation of the proposed project and that the existing environmental compliance documents are still valid.

Have the costs of compliance with the above clearances been adequately defined and included in the project cost estimate to ensure the sponsor is not required to provide unexpected funds? X Yes ___ No

e. FINANCING PLAN

Has the Project Manager certified that the sponsor has the funds to share in the project costs as required by the PCA? X Yes ___ No

f. PCA

Is the PCA in accordance with the model PCA for this type project? Yes No
 X N/A (No model PCA for 107)

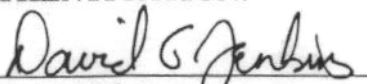
g. DISTRICT COUNSEL REVIEW OF PCA

Has the District Counsel reviewed and approved the draft PCA for legal sufficiency?
 X Yes (Certification attached) ___ No

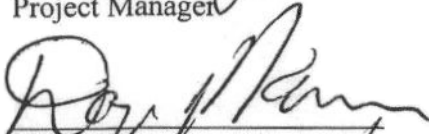
IX. PCA NOT DELEGATED FOR APPROVAL: PCA MUST BE SUBMITTED TO HQUSACE FOR ASA(CW) APPROVAL WHEN THERE ARE DEVIATIONS FROM THE MODEL PCA OR THE FOLLOWING APPLY:

- a. If the PCA includes any deviation from the approved Project Report, describe them and the reasons why. N/A
- b. If there are issues/controversies that must be resolved to enable the PCA to be signed, describe how and when they will be resolved. N/A
- c. If the project is a Congressional add and the PCA covers more than the limit of Federal appropriations, describe why and how this can be recommended. Subsequent Federal funding will be provided through the Continuing Authorities Program.

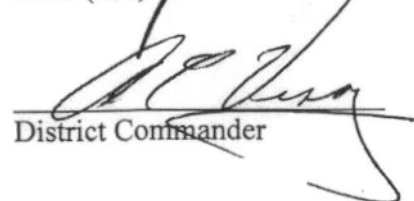
X. AUTHENTICATION:


Project Manager

Date: 1/12/05


DDE (PM)

Date: 1/12/05


District Commander

Date: 1/12/05